

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 71709 / March 12, 2014

INVESTMENT ADVISERS ACT OF 1940
Release No. 3791 / March 12, 2014

Admin. Proc. File No. 3-15445

In the Matter of

HAUSMANN-ALAIN BANET

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Hausmann-Alain Banet and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,¹ that the initial decision of the administrative law judge has become the final decision of the Commission with respect to Hausmann-Alain Banet.² The orders contained in that decision are hereby declared effective. The orders in the initial decision ordered that, pursuant to Section 203(f) of the Investment Advisers Act of 1940, Hausmann-Alain Banet is permanently barred from association with an investment adviser, broker, dealer, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Jill M. Peterson
Assistant Secretary

¹ 17 C.F.R. § 201.360(d).

² *Hausmann-Alain Banet*, Initial Decision Release No. 556 (Jan. 30, 2014), 108 SEC Docket 03, 2014 WL 345338.